

# Confidential Questionnaire

FAIRFIELD INVESTMENTS & WEALTH MANAGEMENT, LLC

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[www.FairfieldWealth.com](http://www.FairfieldWealth.com)

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Fee-Only financial planning and investment advisory services

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**PERSONAL INFORMATION**

Name: \_\_\_\_\_ Date of birth: \_\_\_\_\_

Address: \_\_\_\_\_

Home Phone: \_\_\_\_\_

Employer: \_\_\_\_\_ Occupation: \_\_\_\_\_

Salary/Income: \_\_\_\_\_ Office phone: \_\_\_\_\_

Spouse's Name: \_\_\_\_\_ Date of birth: \_\_\_\_\_

Employer: \_\_\_\_\_ Occupation: \_\_\_\_\_

Salary/Income: \_\_\_\_\_ Office phone: \_\_\_\_\_

Number of dependents: \_\_\_\_\_

Please list your top three financial issues, in order of priority:

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How did you hear about Fairfield Investments & Wealth Management, LLC?

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**ASSETS**

Cash Equivalents

Checking and Savings Accounts \$ \_\_\_\_\_  
Money Market Accounts \$ \_\_\_\_\_  
Certificates of Deposit \$ \_\_\_\_\_  
Life Insurance Cash Value \$ \_\_\_\_\_

Stocks/Bonds/Mutual Funds

\_\_\_\_\_ \$ \_\_\_\_\_  
\_\_\_\_\_ \$ \_\_\_\_\_

Retirement Plans

IRA Account \$ \_\_\_\_\_  
Pension Plan \$ \_\_\_\_\_  
Profit Sharing Plan \$ \_\_\_\_\_  
401(k) or Thrift Plan \$ \_\_\_\_\_  
Annuities/403(b) Plan \$ \_\_\_\_\_  
Deferred Compensation Plan \$ \_\_\_\_\_  
ESOP or Stock Option Plan \$ \_\_\_\_\_

Real Estate

Home \$ \_\_\_\_\_  
Other Real Estate \$ \_\_\_\_\_

Business Interests

\_\_\_\_\_ \$ \_\_\_\_\_

Other Assets

Accounts Receivable \$ \_\_\_\_\_  
Collections \$ \_\_\_\_\_  
Art and Antiques \$ \_\_\_\_\_  
Jewelry and Furs \$ \_\_\_\_\_  
Personal Property \$ \_\_\_\_\_  
Automobiles \$ \_\_\_\_\_  
\_\_\_\_\_ \$ \_\_\_\_\_

**TOTAL ASSETS** \$ \_\_\_\_\_

**LIABILITIES**

Home Mortgage \$ \_\_\_\_\_  
\$ \_\_\_\_\_  
\$ \_\_\_\_\_

Home Equity Line of Credit  
or Second Mortgage \$ \_\_\_\_\_  
Other Mortgages \$ \_\_\_\_\_  
\$ \_\_\_\_\_

Auto Loans/Leases \$ \_\_\_\_\_  
\$ \_\_\_\_\_  
\$ \_\_\_\_\_

Other Installment Loans \$ \_\_\_\_\_  
\$ \_\_\_\_\_

Business Loans \$ \_\_\_\_\_  
\$ \_\_\_\_\_

Taxes Due \$ \_\_\_\_\_  
\$ \_\_\_\_\_

Credit Cards \$ \_\_\_\_\_  
\$ \_\_\_\_\_  
\$ \_\_\_\_\_  
\$ \_\_\_\_\_

Other Personal Debt \$ \_\_\_\_\_

**TOTAL LIABILITIES** \$ \_\_\_\_\_

**NET WORTH**

(Assets minus Liabilities) \$ \_\_\_\_\_

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***Please bring the following documents to our initial meeting:***

- Most recent brokerage/investment statements(s)
- Most recent annuity/life insurance statement(s)
- Most recent tax return(s)
- Most recent retirement plan statement(s)
- Most recent IRA statement(s)